



ISO 9001 : 2008 COMPANY

Registered Office : Ideal Centre, 4th Floor  
9, A.J.C. Bose Road, Kolkata - 700 017

T (033) 4063 2393 F (033) 2290 0383

E office@maithanalloys.com

W www.maithanalloys.com

CIN : L27101WB1985PLC039503

9<sup>th</sup> October, 2020

1] The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001  
Scrip code: 10023915

2] Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Bandra-Kurla Complex,  
Bandra (E), Mumbai - 400 051  
Scrip code: MAITHANALL

Sub: Shareholding Pattern for the quarter ended on 30<sup>th</sup> September, 2020

Dear Sir/Madam,

In accordance with the provisions of Regulation 31(1)(b) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with the Circular nos. CIR/CFD/CMD/13/2015 dated 30<sup>th</sup> November, 2015, SEBI/HO/CFD/CMD/ CIR/P/2017/128 dated 19<sup>th</sup> December, 2017 and SEBI/HO/CFD/CMD1/CIR/P/2019/36 dated 12<sup>th</sup> March, 2019, issued by Securities and Exchange Board of India, we are enclosing herewith Shareholding Pattern of our Company for the quarter ended on 30<sup>th</sup> September, 2020 as Annexure-I.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For Maithan Alloys Limited

Rajesh K. Shah

Company Secretary

Encl : a/a

cc : The Corporate Relationship Department  
BSE Limited  
1st Floor, Rotunda Building, P.J. Towers  
Dalal Street, Fort, Mumbai- 400 001.  
Scrip Code: 590078

Works : Unit-I : P. O. Kalyaneshwari - 713 369, Dist. Burdwan (West Bengal)

Unit-II : E.P.I.P., Byrnihat, Dist. Ri-bhoi-793 101 (Meghalaya)

Unit-III : Plot No. 42 & 43, APSEZ, P.O. Atchutapuram, Dist. Visakhapatnam - 531 011 (A.P.)

Format of holding of specified securities1. Name of Listed Entity: **MAITHAN ALLOYS LTD.** (CIN:L27101WB1985PLC039503)

2. Scrip Code/Name of Scrip/Class of Security:

		<b>CSE</b>	<b>BSE</b>	<b>NSE</b>
a.	Scrip Code :	10023915	590078	MAITHANALL
b.	Name of Scrip:	Maithan Alloys Limited	Maithan Alloys Limited	Maithan Alloys Limited
c.	Class of Security:	Equity	Equity	Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a.	If under 31(1)(b) then indicate the report for Quarter ending:	<b>30th September, 2020</b>
b.	If under 31(1)(c) then indicate date of allotment/extinguishment	N.A.

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares?		✓
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		✓
3	Whether the Listed Entity has any shares against which depository receipts are issued?		✓
4	Whether the Listed Entity has any shares in Locked-in?		✓
5	Whether any shares held by promoters are pledge or otherwise encumbered?		✓



## 5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities as on 30th September, 2020

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class: Equity	Total								
(A)	Promoter & Promoter Group	12	21829366	-	-	21829366	74.99	21829366	21829366	74.99	-	74.99	-	-	-	-	21829366
(B)	Public	21557	7282184	-	-	7282184	25.01	7282184	7282184	25.01	-	25.01	-	-	-	N.A	7214119
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	-
(C1)	Shares underlying DRs	-	-	-	-	-	N.A	-	-	-	-	-	-	-	-	N.A	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A	-
	Total	21569	29111550	-	-	29111550	100.00	29111550	29111550	100.00	-	100.00	-	-	-	-	29043485



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30th September, 2020

(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class: Equity	Total									
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		11	5642790	-	-	5642790	19.38	5642790	5642790	19.38	-	19.38	-	-	-	-	5642790	
	Promoter:																		
	SUBHAS CHANDRA AGARWALLA	ACMPA9305J	1	1391250	-	-	1391250	4.78	1391250	1391250	4.78	-	4.78	-	-	-	-	1391250	
	Persons Acting in Concert-Individual																		
	SHANKAR LAL AGARWALLA	AGAPA5187A	1	759500	-	-	759500	2.61	759500	759500	2.61	-	2.61	-	-	-	-	759500	
	PRAHLAD RAI AGARWALLA	ACMPA9299D	1	825000	-	-	825000	2.83	825000	825000	2.83	-	2.83	-	-	-	-	825000	
	SARITA DEVI AGARWALLA	ACXPD9988M	1	25000	-	-	25000	0.09	25000	25000	0.09	-	0.09	-	-	-	-	25000	
	RITA DEVI AGARWALLA	ACXPD9986F	1	694365	-	-	694365	2.39	694365	694365	2.39	-	2.39	-	-	-	-	694365	
	SHEELA DEVI AGARWALLA	ACMPA9298C	1	1219250	-	-	1219250	4.19	1219250	1219250	4.19	-	4.19	-	-	-	-	1219250	
	AVINASH AGARWALLA	ACMPA9308F	1	19525	-	-	19525	0.07	19525	19525	0.07	-	0.07	-	-	-	-	19525	
	SUDHANSHU AGARWALLA	ACMPA9309E	1	9650	-	-	9650	0.03	9650	9650	0.03	-	0.03	-	-	-	-	9650	
	SUBODH AGARWALLA	ACMPA9296N	1	409250	-	-	409250	1.41	409250	409250	1.41	-	1.41	-	-	-	-	409250	
	SIDDHARTHA SHANKAR AGARWALLA	ADAPA5039L	1	180000	-	-	180000	0.62	180000	180000	0.62	-	0.62	-	-	-	-	180000	
	SONAM AGARWALLA	ABYPC6860F	1	110000	-	-	110000	0.38	110000	110000	0.38	-	0.38	-	-	-	-	110000	
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Any Other (specify):	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
			1	16186576	-	-	16186576	55.60	16186576	16186576	55.60	-	55.60	-	-	-	-	16186576	
	Persons Acting in Concert-Body Corporate																		
	BHAGWATI SYNDICATE PRIVATE LIMITED	AABC80144Q	1	16186576	-	-	16186576	55.60	16186576	16186576	55.60	-	55.60	-	-	-	-	16186576	
	Sub Total (A)(1)		12	21829366	-	-	21829366	74.99	21829366	21829366	74.99	-	74.99	-	-	-	-	21829366	
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other (specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub Total (A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	21829366	-	-	21829366	74.99	21829366	21829366	74.99	-	74.99	-	-	-	-	21829366	
	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. :																		NONE
Note: Mr. Subhas Chandra Agarwalla is the Promoter of the Company. Other shareholders shown in the Promoter Group are Persons Acting in Concert with the Promoter and holdings of each such member has been consolidated on the basis of their PAN for the purpose of reporting in this Shareholding Pattern.																			



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30th September, 2020

Category & Name of the Shareholders (i)	PAN (ii)	Nos. of sharehold er (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Sharehold ing % as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of shares Underlying outstanding convertible securities (including Warrants) (x)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)(xi) = (viii)+(x) As a % of A+B+C2	Number of Locked in shares (xii)		Number of shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialize d form (xiv)
								Class: Equity	No. of Voting Rights	Total as a % of Total Voting rights			(a) No. shares held	(b) As a % of total shares	(a) No. (Not applicable)	(b) As a % of total shares held (Not applicable)	
(1) Institutions																	
(a) Mutual Funds / UTI	-	2	2,10,474	-	-	2,10,474	0.72	2,10,474	2,10,474	0.72	-	0.72	-	-	NA	-	2,10,474
(b) Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(c) Alternate Investment Funds	-	2	143362	-	-	143362	0.49	143362	143362	0.49	-	0.49	-	-	NA	-	143362
(d) Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(e) Foreign Portfolio Investors	-	13	255170	-	-	255170	0.88	255170	255170	0.88	-	0.88	-	-	NA	-	255170
(f) Financial Institutions / Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(g) Insurance Companies	-	1	13740	-	-	13740	0.05	13740	13740	0.05	-	0.05	-	-	NA	-	13740
(h) Provident Funds / Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(i) Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
Sub Total (B)(1)	-	18	622746	-	-	622746	2.14	622746	622746	2.14	-	2.14	-	-	NA	-	622746
(2) Central Government / State Government(s) / President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
Sub Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(3) Non-Institutions																	
(a) Individuals-		20515	5316127	-	-	5316127	18.26	5316127	5316127	18.26	-	18.26	-	-	NA	-	5248062
i. Individual shareholders holding nominal share capital upto Rs. 2 lakhs		20490	4371265	-	-	4371265	15.02	4371265	4371265	15.02	-	15.02	-	-	NA	-	4303200
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		25	944862	-	-	944862	3.25	944862	944862	3.25	-	3.25	-	-	NA	-	944862
(b) NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(c) Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(d) Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-
(e) Any Other (specify) -		1024	1343311	-	-	1343311	4.61	1343311	1343311	4.61	-	4.61	-	-	NA	-	-
ii Bodies Corporate		285	917469	-	-	917469	3.15	917469	917469	3.15	-	3.15	-	-	NA	-	1343311
iii Clearing Member		56	17632	-	-	17632	0.06	17632	17632	0.06	-	0.06	-	-	NA	-	917469
iv Non Resident Individual		670	359450	-	-	359450	1.23	359450	359450	1.23	-	1.23	-	-	NA	-	17632
v Trusts		12	43129	-	-	43129	0.15	43129	43129	0.15	-	0.15	-	-	NA	-	359450
vi Investor Education and Protection Fund		1	5631	-	-	5631	0.02	5631	5631	0.02	-	0.02	-	-	NA	-	43129
Sub Total (B)(3)		21539	6659438	-	-	6659438	22.87	6659438	6659438	22.87	-	22.87	-	-	NA	-	5631
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		21557	7282184	-	-	7282184	25.01	7282184	7282184	25.01	-	25.01	-	-	NA	-	6591373
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): None		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: None		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Notes: 1] Shareholding of the public shareholders has been consolidated on the basis of their PAN and folio number.



Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30th September, 2020

Statement showing shareholding pattern of the Non-Promoter / Non-Public shareholder as on 30th September, 2020																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) =(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
								No. of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
								Class: Equity	Total									
(1) Custodian / DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	



Table V- Statement showing details of significant beneficial owners (SBOs) as on 30th September, 2020

Sr. No	Details of the SBO			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:		Date of creation / acquisition of significant beneficial interest (IV)
	(I)			(II)			(III)		
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of:		
							Shares	%	
							Voting rights	%	
							Rights on distributable dividend or any other distribution	%	
							Exercise of control		
							Exercise of significant influence		
None									

