

| General information about company                              |                          |
|--|--------------------------|
| Scrip code   | 590078                   |
| NSE Symbol   | MAITHANALL               |
| MSEI Symbol  | NOTLISTED                |
| ISIN   | INE683C01011             |
| Name of the entity   | MAITHAN ALLOYS LIMITED   |
| Date of start of financial year                                | 01-04-2022               |
| Date of end of financial year                                  | 31-03-2023               |
| Reporting Quarter  | Yearly                   |
| Date of Report   | 31-03-2023               |
| Risk management committee                                      | Applicable               |
| Market Capitalisation as per immediate previous Financial Year | Top 1000 listed entities |

# Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Textual Information(1)

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Disqualification of Directors under section 164 of the Companies Act, 2013

| Sr | Title (Mr / Ms) | Name of the Director     | PAN        | DIN      | Category 1 of directors              | Category 2 of directors | Category 3 of directors | Date of Birth | Whether the director is disqualified? | Start Date of disqualification | End Date of disqualification | Details of disqualification | Current status | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|-----------------|--------------------------|------------|----------|--------------------------------------|-------------------------|-------------------------|---------------|---------------------------------------|--------------------------------|------------------------------|-----------------------------|----------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|-----------------------------|-----------------------------|
| 1  | Mr              | Subhas Chandra Agarwalla | ACMPA9305J | 00088384 | Executive Director                   | Chairperson             | MD                      | 27-11-1951    | No                                    |                                |                              |                             | Active         | NA  |                                    | 15-04-1992                  | 01-04-2022             |                   |                                | 1  | 0   | 1   | 0  |                             |                             |
| 2  | Mr              | Subodh Agarwalla         | ACMPA9296N | 00339855 | Executive Director                   | Not Applicable          | CEO                     | 12-09-1978    | No                                    |                                |                              |                             | Active         | NA  |                                    | 01-07-2006                  | 01-04-2019             |                   |                                | 1  | 0   | 1   | 0  |                             |                             |
| 3  | Mr              | Nand Kishore Agarwal     | ACIPA3456A | 00378444 | Non-Executive - Independent Director | Not Applicable          |                         | 11-02-1949    | No                                    |                                |                              |                             | Active         | Yes   | 20-08-2019                         | 22-09-2014                  | 22-09-2019             |                   | 102                            | 1  | 1   | 2   | 2  |                             |                             |
| 4  | Mr              | Ashok Bhandari           | ADUPB5894Q | 00012210 | Non-Executive - Independent Director | Not Applicable          |                         | 02-02-1953    | No                                    |                                |                              |                             | Active         | NA  |                                    | 09-05-2017                  | 09-05-2022             |                   | 71                             | 6  | 6   | 10  | 1  |                             |                             |

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

| Sr | Title<br>(Mr / Ms) | Name of the Director          | PAN        | DIN      | Category 1 of directors                  | Category 2 of directors | Category 3 of directors | Date of Birth | Whether the director is disqualified? | Start Date of disqualification | End Date of disqualification | Details of disqualification | Current status | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|--------------------|-------------------------------|------------|----------|--|-------------------------|-------------------------|---------------|---------------------------------------|--------------------------------|------------------------------|-----------------------------|----------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|-----------------------------|-----------------------------|
| 5  | Mr                 | Palghat Krishnan Venkatramani | ABIPV3988P | 05303022 | Non-Executive - Independent Director     | Not Applicable          |                         | 10-10-1950    | No                                    |                                |                              |                             | Active         | Yes   | 30-09-2021                         | 10-11-2016                  | 10-11-2021             |                   | 77                             | 1  | 1   | 2   | 1  |                             |                             |
| 6  | Mr                 | Vivek Kaul                    | ADKPK4029Q | 00345022 | Non-Executive - Independent Director     | Not Applicable          |                         | 08-03-1959    | No                                    |                                |                              |                             | Active         | NA  |                                    | 20-06-2020                  | 20-06-2020             |                   | 33                             | 1  | 1   | 0   | 0  |                             |                             |
| 7  | Mr                 | Peddi Srinivas                | ARHPS7896L | 09194339 | Non-Executive - Non Independent Director | Not Applicable          |                         | 20-01-1963    | No                                    |                                |                              |                             | Active         | NA  |                                    | 24-06-2021                  |                        |                   |                                | 1  | 0   | 0   | 0  |                             |                             |
| 8  | Mrs                | Kalpana Biswas Kundu          | ADGPB7991L | 07006341 | Non-Executive - Independent Director     | Not Applicable          |                         | 06-06-1952    | No                                    |                                |                              |                             | Active         | NA  |                                    | 03-02-2016                  | 03-02-2021             |                   | 86                             | 1  | 1   | 2   | 0  |                             |                             |

| Text Block             |  |
|------------------------|--|
| Textual Information(1) | <p>Notes:</p> <p>(1) Mr. Subhas Chandra Agarwalla, Chairman is the Promoter of the Company.</p> <p>(2) The details relating to the Tenure and Date of Initial Appointment of the Directors, has been provided on the basis of FAQs and Guidance Note on Corporate Governance issued by National Stock Exchange of India Limited.</p> |

|  |                        |
|--|------------------------|
| <b>Annexure 1</b>  |                        |
| <b>II. Composition of Committees</b>                         |                        |
| Disclosure of notes on composition of committees explanatory | Textual Information(1) |

|                              |  |
|------------------------------|--|
| <b>Annexure 1 Text Block</b> |  |
| Textual Information(1)       | <p>Mr. Nand Kishore Agarwal was appointed as Chairman of Audit Committee, Nomination and Remuneration Committee and Stakeholders Relationship Committee w.e.f. 14.05.2012, 21.04.2003 and 31.10.2019 respectively.</p> <p>Mr. Subhas Chandra Agarwalla was appointed as Chairman of Risk Management Committee and Corporate Social Responsibility Committee w.e.f. 12.11.2014 and 06.02.2014 respectively.</p> |

| Audit Committee Details                               |            |                               |                                      |                         |                     |                   |         |
|---|------------|-------------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson |            |                               |                                      |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members     | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00378444   | Nand Kishore Agarwal          | Non-Executive - Independent Director | Chairperson             | 31-01-2002          |                   |         |
| 2   | 00339855   | Subodh Agarwalla              | Executive Director                   | Member                  | 31-07-2019          |                   |         |
| 3   | 05303022   | Palghat Krishnan Venkatramani | Non-Executive - Independent Director | Member                  | 03-02-2016          |                   |         |

| <b>Nomination and remuneration committee</b>                                |            |                               |                                      |                         |                     |                   |         |
|---|------------|-------------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson |            |                               |                                      |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members     | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00378444   | Nand Kishore Agarwal          | Non-Executive - Independent Director | Chairperson             | 31-01-2002          |                   |         |
| 2   | 07006341   | Kalpana Biswas Kundu          | Non-Executive - Independent Director | Member                  | 03-02-2016          |                   |         |
| 3   | 05303022   | Palghat Krishnan Venkatramani | Non-Executive - Independent Director | Member                  | 10-02-2017          |                   |         |



| Stakeholders Relationship Committee                                       |            |                           |                                      |                         |                     |                   |         |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson |            |                           |                                      |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00378444   | Nand Kishore Agarwal      | Non-Executive - Independent Director | Chairperson             | 31-07-2019          |                   |         |
| 2   | 00088384   | Subhas Chandra Agarwalla  | Executive Director                   | Member                  | 30-05-1998          |                   |         |
| 3   | 07006341   | Kalpana Biswas Kundu      | Non-Executive - Independent Director | Member                  | 03-02-2016          |                   |         |

| Risk Management Committee                                       |            |                               |                                      |                         |                     |                   |                        |
|---|------------|-------------------------------|--------------------------------------|-------------------------|---------------------|-------------------|------------------------|
| Whether the Risk Management Committee has a Regular Chairperson |            |                               |                                      |                         | Yes                 |                   |                        |
| Sr  | DIN Number | Name of Committee members     | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks                |
| 1   | 00088384   | Subhas Chandra Agarwalla      | Executive Director                   | Chairperson             | 08-11-2014          |                   |                        |
| 2   | 05303022   | Palghat Krishnan Venkatramani | Non-Executive - Independent Director | Member                  | 08-11-2014          |                   |                        |
| 3   | 99999999   | Pramod Kumar Chaudhary        | G.M. Finance & Accounts              | Member                  | 08-11-2014          |                   | Textual Information(1) |

| Sr Text Block          |   |
|------------------------|---|
| Textual Information(1) | Mr. Pramod Kumar Chaudhary, (G.M. Finance & Accounts) is an employee of the Company appointed as a member of the Risk Management Committee. |

| Corporate Social Responsibility Committee                                       |            |                           |                                      |                         |                     |                   |         |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson |            |                           |                                      |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00088384   | Subhas Chandra Agarwalla  | Executive Director                   | Chairperson             | 11-11-2013          |                   |         |
| 2   | 00339855   | Subodh Agarwalla          | Executive Director                   | Member                  | 11-11-2013          |                   |         |
| 3   | 07006341   | Kalpana Biswas Kundu      | Non-Executive - Independent Director | Member                  | 31-07-2019          |                   |         |

| Other Committee |            |                           |                         |                         |                         |         |
|-----------------|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
| Sr              | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |

## Annexure 1

### Annexure 1

### III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory |   |  |   |                              |  |   |   |   |
|--|---|--|---|------------------------------|--|---|---|---|
| Sr   | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors as on date of the meeting | Number of Directors present* (All directors including Independent Director) | No. of Independent Directors attending the meeting* |
| 1  | 12-11-2022  |  |   |                              | Yes  | 8   | 8   | 5   |
| 2  |   | 14-02-2023   | 93  |                              | Yes  | 8   | 8   | 5   |

## Annexure 1

### IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory |   |  |   |                         |                               |  | Textual Information(1)   |  |   |  |
|--|---|--|---|-------------------------|-------------------------------|--|--|--|---|--|
| Sr   | Name of Committee                         | Date(s) of meeting<br>(Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors in the Committee as on date of the meeting | Number of Directors Present (All Directors including Independent Director) | No. of Independent Directors attending the meeting* | No. of members attending the meeting (other than Board of Directors) |
| 1  | Audit Committee                           | 12-11-2022   |   |                         |                               | Yes  | 3  | 3  | 2   | 0  |
| 2  | Audit Committee                           | 14-02-2023   |   |                         |                               | Yes  | 3  | 3  | 2   | 0  |
| 3  | Stakeholders Relationship Committee       | 12-11-2022   |   |                         |                               | Yes  | 3  | 3  | 2   | 0  |
| 4  | Stakeholders Relationship Committee       | 14-02-2023   |   |                         |                               | Yes  | 3  | 3  | 2   | 0  |
| 5  | Corporate Social Responsibility Committee | 12-11-2022   |   |                         |                               | Yes  | 3  | 3  | 1   | 0  |
| 6  | Corporate Social Responsibility Committee | 14-02-2023   |   |                         |                               | Yes  | 3  | 3  | 1   | 0  |

## Annexure 1

### IV. Meeting of Committees

| Sr | Name of Committee                     | Date(s) of meeting<br>(Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors in the Committee as on date of the meeting | Number of Directors Present (All Directors including Independent Director) | No. of Independent Directors attending the meeting* | No. of members attending the meeting (other than Board of Directors) |
|----|---------------------------------------|--|---|-------------------------|-------------------------------|--|--|--|---|--|
| 7  | Risk Management Committee             | 12-11-2022   |   |                         |                               | Yes  | 2  | 2  | 1   | 1  |
| 8  | Risk Management Committee             | 14-02-2023   |   |                         |                               | Yes  | 2  | 2  | 1   | 1  |
| 9  | Nomination and remuneration committee | 14-02-2023   |   |                         |                               | Yes  | 3  | 3  | 3   | 0  |



| Text Block             |   |
|------------------------|---|
| Textual Information(1) | <p>Mr. Nand Kishore Agarwal was appointed as Chairman of Audit Committee, Nomination and Remuneration Committee and Stakeholders Relationship Committee w.e.f. 14.05.2012, 21.04.2003 and 31.10.2019 respectively.</p> <p>Mr. Subhas Chandra Agarwalla was appointed as Chairman of Risk Management Committee and Corporate Social Responsibility Committee w.e.f. 12.11.2014 and 06.02.2014 respectively.</p> <p>The quorum for each committee meeting as fixed by the Board of Directors is such number of members which shall be not less than 1/3rd of the total number of member of the committee or 2 whichever is higher, unless otherwise stated under the law.</p> |

| Annexure 1   |  |                                  |  |
|--|--|----------------------------------|--|
| V. Related Party Transactions                                  |  |                                  |  |
| Sr   | Subject  | Compliance status<br>(Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 1  | Whether prior approval of audit committee obtained   | Yes                              |  |
| 2  | Whether shareholder approval obtained for material RPT   | NA                               |  |
| 3  | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | NA                               |  |
| Disclosure of notes of material transaction with related party |  |                                  | Textual Information(1)   |

| Text Block             |  |
|------------------------|--|
| Textual Information(1) | <p>The Company has entered into related party transaction with Impex Metal &amp; Ferro Alloys Ltd. (a wholly-owned subsidiary of the Company) which are material. However, in terms of provisions of Regulation 23(5) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 prior approval of Audit Committee and Shareholders are not required for the said transactions.</p> <p>The details of transactions carried out in tranches during the period from 01.04.2022 to 31.03.2023, are as follows:</p> <p>Purchase of Goods: Rs. 362.05 Crore; Sale of Goods: Rs. 73.97 Crore; Sale of Capital Goods: Rs. 0.01 Crore; Interest Received: Rs. 6.03 Crore and Reimbursement of Expenses: Rs. 45.72 Crore.</p> |

## Annexure 1

### VI. Affirmations

| Sr | Subject   | Compliance status<br>(Yes/No) |
|----|---|-------------------------------|
| 1  | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015   | Yes                           |
| 2  | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee   | Yes                           |
| 3  | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee                                    | Yes                           |
| 4  | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee                                    | Yes                           |
| 5  | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities) | Yes                           |
| 6  | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                               | Yes                           |
| 7  | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                   | Yes                           |
| 8  | This report and/or the report submitted in the previous quarter has been placed before Board of Directors.  | Yes                           |
| 9  | Any comments/observations/advice of Board of Directors may be mentioned here:   | Textual<br>Information(1)     |

| Annexure 1 |                   |  |
|------------|-------------------|--|
| Sr         | Subject           | Compliance status                        |
| 1          | Name of signatory | Rajesh K. Shah                           |
| 2          | Designation       | Company Secretary and Compliance Officer |

| Text Block             |   |
|------------------------|---|
| Textual Information(1) | <p>The report submitted for the quarter ended 31.12.2022 has been placed before Board of Directors in its meeting held on 14.02.2023.</p> <p>This report will be placed before the Board of Directors in the upcoming Board Meeting</p> |

## Annexure II

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

### **I. Disclosure on website in terms of Listing Regulations**

| Sr | Item  | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. | Web address   |
|----|---|-------------------------------|--|---|
| 1  | Details of business   | Yes                           |  | <a href="https://www.maithanalloys.com/">https://www.maithanalloys.com/</a>   |
| 2  | Terms and conditions of appointment of independent directors          | Yes                           |  | <a href="https://maithanalloys.com/wp-content/uploads/2017/07/member_info/2014.03.31-Term%20&amp;%20Condition%20of%20Appt%20of%20IDs.pdf">https://maithanalloys.com/wp-content/uploads/2017/07/member_info/2014.03.31-Term%20&amp;%20Condition%20of%20Appt%20of%20IDs.pdf</a>               |
| 3  | Composition of various committees of board of directors               | Yes                           |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2022/06/1st-April-2022-Board-its-Committees.pdf">https://www.maithanalloys.com/wp-content/uploads/2022/06/1st-April-2022-Board-its-Committees.pdf</a>   |
| 4  | Code of conduct of board of directors and senior management personnel | Yes                           |  | <a href="https://maithanalloys.com/wp-content/uploads/2017/07/policies/01.Code%20of%20Conduct%20for%20Directors%20and%20Senior%20Management.pdf">https://maithanalloys.com/wp-content/uploads/2017/07/policies/01.Code%20of%20Conduct%20for%20Directors%20and%20Senior%20Management.pdf</a> |
| 5  | Details of establishment of vigil mechanism/                          | Yes                           |  | <a href="https://maithanalloys.com/wp-content/uploads/2017/07/policies/07.Vigil%20Mechanism%20Policy.pdf">https://maithanalloys.com/wp-content/uploads/2017/07/policies/07.Vigil%20Mechanism%20Policy.pdf</a>   |

|   |   |     |  |   |
|---|---|-----|--|---|
|   | Whistle Blower policy   |     |  |   |
| 6 | Criteria of making payments to non-executive directors                  | Yes |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2019/07/Remuneration-Policy.pdf">https://www.maithanalloys.com/wp-content/uploads/2019/07/Remuneration-Policy.pdf</a>   |
| 7 | Policy on dealing with related party transactions                       | Yes |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2022/08/material-RPT-changed.pdf">https://www.maithanalloys.com/wp-content/uploads/2022/08/material-RPT-changed.pdf</a>   |
| 8 | Policy for determining 'material' subsidiaries                          | Yes |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2019/07/Policy-on-Material-Subsidiary.pdf">https://www.maithanalloys.com/wp-content/uploads/2019/07/Policy-on-Material-Subsidiary.pdf</a>   |
| 9 | Details of familiarization programmes imparted to independent directors | Yes |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2022/06/Familiarization-Programme-for-Independent-Directors.pdf">https://www.maithanalloys.com/wp-content/uploads/2022/06/Familiarization-Programme-for-Independent-Directors.pdf</a> |



## Annexure II

### Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

#### I. Disclosure on website in terms of Listing Regulations

| Sr | Item   | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. | Web address   |
|----|--|-------------------------------|--|---|
| 10 | Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances  | Yes                           |  | <a href="https://www.maithanalloys.com/grivance-redressal/">https://www.maithanalloys.com/grivance-redressal/</a>       |
| 11 | email address for grievance redressal and other relevant details   | Yes                           |  | <a href="https://www.maithanalloys.com/contact/">https://www.maithanalloys.com/contact/</a>                             |
| 12 | Financial results  | Yes                           |  | <a href="https://www.maithanalloys.com/quarterly-reports/">https://www.maithanalloys.com/quarterly-reports/</a>         |
| 13 | Shareholding pattern   | Yes                           |  | <a href="https://www.maithanalloys.com/shareholding-pattern">https://www.maithanalloys.com/shareholding-pattern</a>     |
| 14 | Details of agreements entered into with the media companies and/or their associates  | NA                            |  |   |
| 15 | Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange | Yes                           |  | <a href="https://www.maithanalloys.com/investor-presentation/">https://www.maithanalloys.com/investor-presentation/</a> |
| 16 | New name and the old name of the listed entity   | NA                            |  |   |
| 17 | Advertisements as per regulation 47 (1)  | Yes                           |  | <a href="https://www.maithanalloys.com/announcements/">https://www.maithanalloys.com/announcements/</a>                 |
| 18 | Credit rating or revision in credit rating obtained  | Yes                           |  | <a href="https://www.maithanalloys.com/credit-rating/">https://www.maithanalloys.com/credit-rating/</a>                 |
| 19 | Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year  | Yes                           |  | <a href="https://www.maithanalloys.com/annual-reports/">https://www.maithanalloys.com/annual-reports/</a>               |

|    |  |     |  |   |
|----|--|-----|--|---|
| 20 | Whether company has provided information under separate section on its website as per Regulation 46(2) | Yes |  | <a href="https://www.maithanalloys.com/">https://www.maithanalloys.com/</a>   |
| 21 | Materiality Policy as per Regulation 30  | Yes |  | <a href="https://maithanalloys.com/wp-content/uploads/2018/01/Materiality-of-Events-Policy.pdf">https://maithanalloys.com/wp-content/uploads/2018/01/Materiality-of-Events-Policy.pdf</a>         |
| 22 | Dividend Distribution policy as per Regulation 43A (as applicable)                                     | Yes |  | <a href="https://www.maithanalloys.com/wp-content/uploads/2021/07/Dividend-Distribution-Policy.pdf">https://www.maithanalloys.com/wp-content/uploads/2021/07/Dividend-Distribution-Policy.pdf</a> |
| 23 | It is certified that these contents on the website of the listed entity are correct                    | Yes |  | <a href="https://www.maithanalloys.com/">https://www.maithanalloys.com/</a>   |

## Annexure II

### II. Annual Affirmations

| Sr | Particulars   | Regulation Number      | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
|----|---|------------------------|-------------------------------|--|
| 1  | Independent director(s) have been appointed in terms of specified criteria of ‘independence’ and/or ‘eligibility’ | 16(1)(b) & 25(6)       | Yes                           |  |
| 2  | Board composition   | 17(1), 17(1A) & 17(1B) | Yes                           |  |
| 3  | Meeting of Board of directors   | 17(2)                  | Yes                           |  |
| 4  | Quorum of Board meeting   | 17(2A)                 | Yes                           |  |
| 5  | Review of Compliance Reports  | 17(3)                  | Yes                           |  |
| 6  | Plans for orderly succession for appointments   | 17(4)                  | Yes                           |  |
| 7  | Code of Conduct   | 17(5)                  | Yes                           |  |
| 8  | Fees/compensation   | 17(6)                  | Yes                           |  |
| 9  | Minimum Information   | 17(7)                  | Yes                           |  |
| 10 | Compliance Certificate  | 17(8)                  | Yes                           |  |

## Annexure II

### II. Annual Affirmations

| Sr | Particulars   | Regulation Number     | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
|----|---|-----------------------|-------------------------------|--|
| 11 | Risk Assessment & Management                            | 17(9)                 | Yes                           |  |
| 12 | Performance Evaluation of Independent Directors         | 17(10)                | Yes                           |  |
| 13 | Recommendation of Board                                 | 17(11)                | Yes                           |  |
| 14 | Maximum number of Directorships                         | 17A                   | Yes                           |  |
| 15 | Composition of Audit Committee                          | 18(1)                 | Yes                           |  |
| 16 | Meeting of Audit Committee                              | 18(2)                 | Yes                           |  |
| 17 | Composition of nomination & remuneration committee      | 19(1) & (2)           | Yes                           |  |
| 18 | Quorum of Nomination and Remuneration Committee meeting | 19(2A)                | Yes                           |  |
| 19 | Meeting of Nomination and Remuneration Committee        | 19(3A)                | Yes                           |  |
| 20 | Composition of Stakeholder Relationship Committee       | 20(1), 20(2) & 20(2A) | Yes                           |  |

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| Sr | Particulars   | Regulation Number             | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
|----|---|-------------------------------|-------------------------------|--|
| 21 | Meeting of Stakeholders Relationship Committee                                      | 20(3A)                        | Yes                           |  |
| 22 | Composition and role of risk management committee                                   | 21(1),(2),(3),(4)             | Yes                           |  |
| 23 | Meeting of Risk Management Committee  | 21(3A)                        | Yes                           |  |
| 24 | Vigil Mechanism   | 22                            | Yes                           |  |
| 25 | Policy for related party Transaction  | 23(1),(1A),(5),(6), (7) & (8) | Yes                           |  |
| 26 | Prior or Omnibus approval of Audit Committee for all related party transactions     | 23(2), (3)                    | Yes                           |  |
| 27 | Approval for material related party transactions                                    | 23(4)                         | NA                            |  |
| 28 | Disclosure of related party transactions on consolidated basis                      | 23(9)                         | Yes                           |  |
| 29 | Composition of Board of Directors of unlisted material Subsidiary                   | 24(1)                         | NA                            |  |
| 30 | Other Corporate Governance requirements with respect to subsidiary of listed entity | 24(2),(3),(4),(5) & (6)       | Yes                           |  |

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| Sr | Particulars   | Regulation Number | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
|----|---|-------------------|-------------------------------|--|
| 31 | Annual Secretarial Compliance Report  | 24(A)             | Yes                           |  |
| 32 | Alternate Director to Independent Director  | 25(1)             | NA                            |  |
| 33 | Maximum Tenure  | 25(2)             | Yes                           |  |
| 34 | Meeting of independent directors  | 25(3) & (4)       | Yes                           |  |
| 35 | Familiarization of independent directors  | 25(7)             | Yes                           |  |
| 36 | Declaration from Independent Director   | 25(8) & (9)       | Yes                           |  |
| 37 | D & O Insurance for Independent Directors   | 25(10)            | Yes                           |  |
| 38 | Memberships in Committees   | 26(1)             | Yes                           |  |
| 39 | Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel | 26(3)             | Yes                           |  |
| 40 | Disclosure of Shareholding by Non-Executive Directors   | 26(4)             | NA                            |  |
| 41 | Policy with respect to Obligations of directors and senior management   | 26(2) & 26(5)     | Yes                           |  |
|    | Any other information to be provided - Add Notes  |                   |                               |  |

| Annexure II |                   |  |
|-------------|-------------------|--|
| 1           | Name of signatory | Rajesh k. Shah                           |
| 2           | Designation       | Company Secretary and Compliance Officer |

| Annexure II       |  |                                  |
|-------------------|--|----------------------------------|
| III. Affirmations |  |                                  |
| Sr                | Particulars  | Compliance status<br>(Yes/No/NA) |
| 1                 | The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied | Yes                              |
|                   | Any other information to be provided   |                                  |



| Annexure II |                   |  |
|-------------|-------------------|--|
| 1           | Name of signatory | Rajesh K. Shah                           |
| 2           | Designation       | Company Secretary and Compliance Officer |

| Additional Half yearly Disclosure  |   |  |  |
|--|---|--|--|
| Applicability of disclosure  | Applicable                                  |  |  |
| Reason for Non Applicability   | Textual Information(1)                      |  |  |
| I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below  |   |  |  |
| (A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to  |   |  |  |
| Entity   | Aggregate amount advanced during six months | Balance outstanding at the end of six months   |  |
| Promoter or any other entity controlled by them  | 0   | 0  |  |
| Promoter Group or any other entity controlled by them  | 0   | 0  |  |
| Directors (including relatives) or any other entity controlled by them   | 0   | 0  |  |
| KMPs or any other entity controlled by them  | 0   | 0  |  |
| (B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By |   |  |  |
| Entity   | Type (guarantee, comfort letter etc.)       | Aggregate amount of issuance during six months | Balance outstanding at the end of six months(taking into account any invocation) |
| Promoter or any other entity controlled by them  | N.A.  | 0  | 0  |
| Promoter Group or any other entity controlled by them  | N.A.  | 0  | 0  |
| Directors (including relatives) or any other entity controlled by them   | N.A.  | 0  | 0  |
| KMPs or any other entity controlled by them  | N.A.  | 0  | 0  |
| (C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by   |   |  |  |

|   |                                      |  |  |
|---|--------------------------------------|--|--|
| Entity  | Type of security (cash, shares etc.) | Aggregate value of security provided during six months | Balance outstanding at the end of six months |
| Promoter or any other entity controlled by them   | N.A.                                 | 0  | 0  |
| Promoter Group or any other entity controlled by them   | N.A.                                 | 0  | 0  |
| Directors (including relatives) or any other entity controlled by them  | N.A.                                 | 0  | 0  |
| KMPs or any other entity controlled by them   | N.A.                                 | 0  | 0  |
| (D) Additional Information  |                                      |  | Textual Information(2)                       |
| <b>II. Affirmations</b>   |                                      |  |  |
| Affirmations  |                                      | Compliance Status                                      | Company Remarks                              |
| All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. |                                      | Yes  | Textual Information(3)                       |
| Name  | Subodh Agarwalla                     |  |  |
| Designation   | CEO                                  |  |  |
| Place   | Kolkata                              |  |  |
| Date  | 21-04-2023                           |  |  |

| <b>Text Block</b>      |   |
|------------------------|---|
| Textual Information(2) | <p>Note: These disclosures excludes any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt:</p> <p>a) by the Company to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the Company.</p> <p>b) by the Company to its employees or directors as a part of the service conditions.</p> |
| Textual Information(3) | <p>Note: These disclosures excludes any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt:</p> <p>a) by the Company to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the Company.</p> <p>b) by the Company to its employees or directors as a part of the service conditions.</p> |

| Signatory Details     |  |
|-----------------------|--|
| Name of signatory     | Rajesh K. Shah                           |
| Designation of person | Company Secretary and Compliance Officer |
| Place                 | Kolkata                                  |
| Date                  | 21-04-2023                               |

